



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : Fernando Gonzalez and David Kao

Title : TRANSISTORS HAVING CONTROLLED CONDUCTIVE  
SPACERS, USES OF SUCH TRANSISTORS AND  
METHODS OF MAKING SUCH TRANSISTORS

Docket Number : MIO 0007 NA

Assistant Commissioner for Patents  
Washington, D.C. 20231


EL524331306US

Sir:

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Date of Deposit: May 4, 2000

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Kerrie E. Cela  
Legal Secretary

TWH/GJA: rmm

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 410.00

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/375,081        |
| Filing Date          | August 16, 1999   |
| First Named Inventor | Fernando Gonzalez |
| Examiner Name        | Munson            |
| Group / Art Unit     | 2811              |
| Attorney Docket No.  | MIO 0007 NA       |

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 690      | 201          | 345      | Utility filing fee     |          |
| 106          | 310      | 206          | 155      | Design filing fee      |          |
| 107          | 480      | 207          | 240      | Plant filing fee       |          |
| 108          | 690      | 208          | 345      | Reissue filing fee     |          |
| 114          | 150      | 214          | 75       | Provisional filing fee |          |

SUBTOTAL (1) (\$) -0-

### 2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | X              |          |
| Independent Claims | -3** =       | X              |          |
| Multiple Dependent |              |                |          |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                     |
| 102          | 78       | 202          | 39       | Independent claims in excess of 3                          |
| 104          | 260      | 204          | 130      | Multiple dependent claim, if not paid                      |
| 109          | 78       | 209          | 39       | ** Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) - 0 -

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity   |          | Small Entity |          | Fee Description  | Fee Paid |
|--|----------|--------------|----------|--|----------|
| Fee Code   | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105  | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127  | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139  | 130      | 139          | 130      | Non-English specification  |          |
| 147  | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     |          |
| 112  | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113  | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115  | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116  | 380      | 216          | 190      | Extension for reply within second month                                    |          |
| 117  | 870      | 217          | 435      | Extension for reply within third month                                     |          |
| 118  | 1,360    | 218          | 680      | Extension for reply within fourth month                                    |          |
| 128  | 1,850    | 228          | 925      | Extension for reply within fifth month                                     |          |
| 119  | 300      | 219          | 150      | Notice of Appeal   | 300      |
| 120  | 300      | 220          | 150      | Filing a brief in support of an appeal                                     |          |
| 121  | 260      | 221          | 130      | Request for oral hearing   |          |
| 138  | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140  | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141  | 1,210    | 241          | 605      | Petition to revive - unintentional   |          |
| 142  | 1,210    | 242          | 605      | Utility issue fee (or reissue)   |          |
| 143  | 430      | 243          | 215      | Design issue fee   |          |
| 144  | 580      | 244          | 290      | Plant issue fee  |          |
| 122  | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123  | 50       | 123          | 50       | Petitions related to provisional applications                              |          |
| 126  | 240      | 126          | 240      | Submission of Information Disclosure Stmt                                  |          |
| 581  | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146  | 690      | 246          | 345      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149  | 690      | 249          | 345      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| Other fee (specify) Check for \$110.00 re: Filing of |          |              |          |  |          |
| Other fee (specify) Terminal Disclaimer              |          |              |          |  | 110      |

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 410

## SUBMITTED BY

|                   |                  |                                   |             |           |              |
|-------------------|------------------|-----------------------------------|-------------|-----------|--------------|
| Name (Print/Type) | Gregory J. Adams | Registration No. (Attorney/Agent) | 44,494      | Telephone | 937/223-2050 |
| Signature         |                  | Date                              | May 4, 2000 |           |              |

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